

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed at 201 below) or an original, first and joint inventor (if plural names are listed at 201-203 below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## ANTIHALATION COMPOSITIONS

which is described and claimed in:

☐ the attached specification or☐ the specification in application Serial No. \_\_\_\_\_ filed \_\_\_\_\_  
(for declaration not accompanying application)

And was amended on \_\_\_\_\_

if applicable

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS PRIOR TO THE FILING DATE OF THIS APPLICATION			
COUNTRY	APPLICATION	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES NO
			YES NO
ALL FOREIGN APPLICATIONS, IF ANY, FILED MORE THAN 12 MONTHS PRIOR TO THE FILING DATE OF THIS APPLICATION			

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (Patented, pending, abandoned)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) with full powers of association, substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)Sewall P. Bronstein  
Donald Brown  
Robert L. Goldberg  
Robert F. O'Connell(Reg. No. 16919)  
(Reg. No. 20845)  
(Reg. No. 22456)  
(Reg. No. 19817)David G. Conlin  
George W. Neuner  
Robert M. Asher  
Gregory D. Williams(Reg. No. 27026)  
(Reg. No. 26964)  
(Reg. No. 30445)  
(Reg. No. 30901)Ernest V. Linek  
Linda M. Buckley  
Ronald I. Eisenstein(Reg. No. 29822)  
(Reg. No. 31003)  
(Reg. No. 30628)

Peter F. Corless (Reg. 33860)

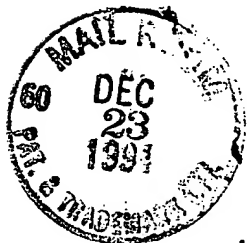
## SEND CORRESPONDENCE TO:

Robert L. Goldberg, Esq.  
Dike, Bronstein, Roberts & Cushman  
130 Water Street  
Boston, Massachusetts 02109DIRECT TELEPHONE CALLS TO:  
(name and telephone number)Robert L. Goldberg, Esq.  
ATTORNEY (617) 244-4990

201	FULL NAME OF INVENTOR	LAST NAME Thackeray	FIRST NAME James	MIDDLE NAME W.
	RESIDENCE & CITIZENSHIP	CITY Braintree	STATE OR FOREIGN COUNTRY Massachusetts	COUNTRY OF CITIZENSHIP U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 45 Ash Street	CITY Braintree	STATE OR COUNTRY Massachusetts
202	FULL NAME OF INVENTOR	LAST NAME Orsula	FIRST NAME George	MIDDLE NAME W.
	RESIDENCE & CITIZENSHIP	CITY Avon	STATE OR FOREIGN COUNTRY Massachusetts	COUNTRY OF CITIZENSHIP U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 680 West Main Street	CITY Avon	STATE OR COUNTRY Massachusetts
203	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE OF INVENTOR 201 <i>James W. Thackeray</i>	SIGNATURE OF INVENTOR 202 <i>George W. Orsula</i>	SIGNATURE OF INVENTOR 203
DATE 12/11/91	DATE 12/11/91	DATE



40.00-581-D

PATENT Docket No. 40678

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent

Application

Patent No. \_\_\_\_\_ Serial No. 07 / 792,482

Issue Date: \_\_\_\_\_ Filing Date: November 15, 1991

- ☐ Also see attached list of patents and/or applications covered by assignment

Box Assignment

Commissioner of Patents and Trademarks

Washington, D.C. 20231

NOTE: "All assignments should be mailed to BOX ASSIGNMENTS unless they are filed concurrently with a new application." Notice of May 4, 1990 (1114 O.G. 77-78)

ASSIGNMENT (DOCUMENT) COVER LETTER

NOTE: "Each assignment should be accompanied by a separate cover letter. More than one application, patent, or registration can be identified in an assignment." Notice of May 4, 1990 (1114 O.G. 77-78).

CERTIFICATION OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

(check and complete appropriate item below):

☒ 37 CFR 1.8(a)  
with sufficient postage  
as first class mail

or

☐ 37 CFR 1.10  
as "Express Mail Post Office  
to Addressee" Mailing Label  
No. \_\_\_\_\_

Deanna M. Leverone

(Type or print name of person mailing paper)

Date 12/20/91

Deanna M. Leverone  
(Signature of person mailing paper)

(Assignment Cover Letter [16-11]—page 1 of 4)

080 BF 01/06/92 07792482

1 581

40.00 CK

06924045.080701

REF 5951, FRAME 944

21605429

IDENTIFICATION OF APPLICATION(S) AND/OR  
PATENT(S) COVERED BY ASSIGNMENT

1. The accompanying assignment covers the patent or application identified above.

(Complete applicable item below)

- ☒ No other patents or applications are covered by the assignment
- ☐ Other patents and/or applications are covered by the assignment as shown on the attached LIST OF PATENTS AND/OR APPLICATIONS COVERED BY ASSIGNMENT

NAME(S) OF PARTY(IES) MAKING TRANSFER

2.

Name 1: James W. Thackeray

Name 2: George W. Orsula

Name 3:

IDENTITY OF PARTY(IES) TO WHOM TRANSFER IS MADE

3. Transfer is being made to:

Name: Shipley Company Inc.

Address: 2300 Washington Street  
Newton, MA 02162

INTENTION OF DOCUMENT

NOTE: The assignment cover letter should include a "brief description of what the document intends to accomplish (such as an assignment, a security agreement, or a license). Notice of May 4, 1990 (1114 O.G. 77-78).

4. The accompanying document is an:

- ☒ Assignment
- ☐ Security Agreement
- ☐ License
- ☐ Other

FEE DUE

NOTE: (37 CFR 1.21(h) - "For recording each assignment, agreement or other paper relating to the property in a patent or application per property —\$8.00

Total fee due \$ 40.00

(Assignment Cover Letter [16-11]—page 2 of 4)

09924045-080701

REF 5951 FRAM 945



For:

☐ Patent:

☐ In re application of:

Serial No.: 0 /

Filed:

For:

☐ Patent:

☐ In re application :

Serial No.: 0 /

Filed:

For:

☐ Patent:

Issued:

Group No.

Examiner:

Issued:

Group No.

Examiner:

Issued:

*(use additional pages as required)*

Additional page

(Assignment Cover Letter [16-11]—page 4 of 4)

099244045-080701

REF 5951 FRAME 947

A S S I G N M E N T

We, James W. Thackeray of Braintree, Massachusetts,  
and George W. Orsula of Avon, Massachusetts,  
for good and valuable consideration from Shipley Company Inc.,  
a Massachusetts corporation, having its principal place  
of business in Newton, Massachusetts, hereinafter called  
the Assignee, receipt of which is hereby acknowledged, do hereby  
sell, assign and transfer unto the Assignee, its successors and  
assigns, the entire right, title and interest in, to and under an  
application for Letters Patent of the United States signed by us on  
the dates indicated below for Improvements in \_\_\_\_\_

ANTIHALATION COMPOSITIONS

and the inventions and any of them therein set forth and described,  
and any and all Letters Patent of the United States and of countries  
foreign thereto which may be granted thereon or therefor;

And for the above consideration we agree promptly upon request of  
the Assignee, its successors or assigns, to execute and deliver  
without further compensation any power of attorney, assignment,  
application, whether original, divisional, continuation or reissue,  
or other papers which may be necessary or desirable fully to secure  
to the Assignee, its successors and assigns, the inventions and any  
of them described in said application and all patent rights therein,  
in the United States and in any country foreign thereto.

IN WITNESS WHEREOF, I, James W. Thackeray, hereunto set  
my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_,  
and I, George W. Orsula, hereunto set my hand and seal this  
day of \_\_\_\_\_, 19\_\_\_\_.

RECORDED  
PATENT AND TRADEMARK  
OFFICE

James W. Thackeray  
James W. Thackeray  
George W. Orsula  
George W. Orsula

DEC 23 1991  
Commonwealth  
of Massachusetts :  
County of Middlesex : ss.

Before me this 11<sup>th</sup> day of December, 1991, personally  
appeared James W. Thackeray, who is to me personally known,  
and acknowledged the foregoing instrument of assignment to be his  
free act and deed.

Anna L. Scamman  
Notary Public

Commonwealth  
of Massachusetts :  
County of Middlesex : ss.

Before me this 11<sup>th</sup> day of December, 1991, personally  
appeared George W. Orsula, who is to me personally known,  
and acknowledged the foregoing instrument of assignment to be his  
free act and deed.

Anna L. Scamman  
Notary Public

00924045-080701

REEL 5951 FRAME 948



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DATE: 02/10/92

TO:

ROBERT L. GOLDBERG  
DIKE, BRONSTEIN, ROBERTS & CUSHMAN  
130 WATER ST.  
BOSTON, MA 02109

Jc839 U.S. PTO  
09/924045  
08/07/01

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS, ON THIS NOTICE, PLEASE SEND A REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:

THACKERAY, JAMES W.

DOC DATE: 12/11/91

ASSIGNOR:

ORSULA, GEORGE W.

DOC DATE: 12/11/91

RECORDATION DATE: 12/23/91      NUMBER OF PAGES 005      REEL/FRAME 5951/0944

DIGEST :ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:

SHIPLEY COMPANY INC.  
A MA CORPORATON  
NEWTON, MASSACHUSETTS

SERIAL NUMBER      7-792482      FILING DATE      11/15/91  
PATENT NUMBER           ISSUE DATE      00/00/00

RECEIVED

FEB 21 1992

ONE DOCUMENT LOCATED  
CUSHMAN